# Shear mode electromechanical coupling coefficient of c-axis parallel oriented ZnO films by sputtering with H<sub>2</sub>O

H<sub>2</sub>O 導入スパッタ法を用いて作製した c 軸平行配向 ZnO 膜の すべりモード電気機械結合係数

Chihiro Takata<sup>1‡</sup>, Shinji Takayanagi<sup>1</sup>, Takahiko Yanagitani<sup>2</sup>, Mami Matsukawa<sup>1</sup> (<sup>1</sup>Doshisha Univ.; <sup>2</sup>Waseda Univ.) 高田千裕<sup>1‡</sup>, 高柳真司<sup>1</sup>, 柳谷隆彦<sup>2</sup>, 松川真美<sup>1</sup> (<sup>1</sup>同志社大,<sup>2</sup>早稲田大)

### 1. Introduction

c-axis parallel  $(11\overline{2}0)$  and  $(10\overline{1}0)$  oriented ZnO films can excite shear wave. These films are suitable for shear horizontal surface acoustic wave (SH-SAW) device and thickness-shear mode film bulk acoustic resonator (FBAR) to measure liquid properties (e.g., viscosity and conductivity<sup>1</sup>).

ZnO crystal has hexagonal wurtzite structure. In general, ZnO film tends to orient the most closed-packed (0001) plane. However, if the substrate is bombarded with energetic ions during RF magnetron sputtering, the (0001) grain growth is suppressed, and (1120) or (1010) grain can be grown. In previous studies, we found that highly energetic O<sup>-</sup> were generated around ZnO target in RF magnetron sputtering<sup>2)</sup>. These O<sup>-</sup> ions were accelerated to the substrate by negative bias of the sputtering target, resulting in growth of (1120) oriented ZnO films.

In this study,  $H_2O$  gas was injected in the sputtering chamber during ZnO film deposition in order to control energetic negative ion generation. O and OH<sup>-</sup> ion generation are expected by dissociation and electron attachment of  $H_2O$ . Furthermore, H. Yamada et al. reported that crystalline orientation of c-axis perpendicularly oriented ZnO film was improved by injecting  $H_2O$  gas because oxigen vacancies in ZnO film were reduced<sup>3</sup>). We investigated the effect of  $H_2O$  gas injection on ion energy distributions during the deposition, crystalline orientations of ZnO films, and shear mode electromechanical coupling coefficients  $k_{15}$ .

### 2. Measurement of ion energy distributions

We measured the flux and energy of ions which enter the substrate during RF magnetron sputtering by an energy analyzer with a mass spectrometer (PSM003-300, Hiden Analytical). **Figure 1** shows an RF magnetron sputtering system with the energy analyzer. The energy analyzer was located above the target erosion area where highly energetic O<sup>-</sup> enter the substrate<sup>2)</sup>. Ar, O<sub>2</sub>, and H<sub>2</sub>O were injected as the process gas. The ratio of O<sub>2</sub> gas to Ar gas was fixed at 3, and the ratio of H<sub>2</sub>O gas to Ar gas ( $r_{\rm H_2O/Ar}$ ) was changed from 0 to 0.30. The discharge conditions were optimize to the RF power of 50 W, the background pressure of  $8.0 \times 10^{-4}$  Pa, and the process pressure of 0.1 Pa.

**Figure 2** shows energy distributions of O<sup>-</sup> ions which entering the substrate. O<sup>-</sup> energy (160-240 eV) was higher than positive ion energy (15-30 eV), as expected. The O<sup>-</sup> flux was increased as the increase of  $r_{\rm H_2O/Ar}$ . Although the OH<sup>-</sup> flux was low, it was also increased as the increase of  $r_{\rm H_2O/Ar}$ . The increace of highly energetic O<sup>-</sup> and OH<sup>-</sup> flux was due to the dissociation and electron attachment of the injected H<sub>2</sub>O gas. Therefore, highly energetic negative ion flux can be controled by the H<sub>2</sub>O gas injection.



Fig. 1 RF magnetron sputtering system with energy analyzer.

# 3. Deposition of c-axis parallel oriented ZnO films

ZnO films were fabricated on Al/Silica glass substrates by RF magnetron sputtering. Deposition conditions were set to be same as the measurement conditions of the ion energy distributions. The crystalline orientations of ZnO films were measured by X-ray diffraction (XRD) analysis (X'Pert Pro MRD, Philips).

**Figure 3** shows XRD patterns of the samples at  $r_{\text{H}_2\text{O}/\text{Ar}}$  of 0, 0.02, 0.04 and 0.08. An intense  $(11\overline{2}0)$  peak was observed in each sample. the  $(11\overline{2}0)$  peak of the sample without H<sub>2</sub>O injecton

Mail: stakayan@mail.doshisha.ac.jp

was broad, compared to that with  $H_2O$  injecton. This result indicates the improvement of crystallization due to the reduction of the oxigen vacancies<sup>3)</sup>.

(1120)  $\omega$ -rocking curve of the samples were also measured. The full width at half maximum (FWHM) of the samples at  $r_{\rm H_2O/Ar}$  of 0, 0.02, 0.04 and 0.08 were 4.1°, 4.1°, 3.9°, and 5.1°, respectively. The FWHM value was decreased with H<sub>2</sub>O injecton, but it was increased at  $r_{\rm H_2O/Ar}$  of 0.08. In previous study, (1120) orientation was degraded by excess O bombardment<sup>4</sup>. Therefore, (1120) grain growth was supressed by large flux of O and OH bombardment at  $r_{\rm H_2O/Ar}$  of 0.08. Consequently, ion bombardment at  $r_{\rm H_2O/Ar}$  of 0.04 is suitable for (1120) grain growth in this system.



## 4. Shear mode electromechanical coupling coefficient $k_{15}$

High-overtone bulk acoustic resonator (HBAR) structures were fabricated by evaporated Cu top electrodes in order to investigate piezoelectric properties. The conversion losses of the HBAR structures were measured by network analyzer (E5071C, Agilent Technologies). They were also calculated by one-dimensional transmission line model. Then,  $k_{15}$  was estimated by comparing between experimental value and calculational value.

**Figure 4** shows shear mode conversion losses of the samples. The experimental curves at  $r_{\text{H}_2\text{O}/\text{Ar}}$  of 0, 0.02, 0.04 and 0.08 were in good agreement with the experimental curves at  $k_{15}$  of 0.16, 0.17, 0.19, and 0,17. These  $k_{15}$  changes by H<sub>2</sub>O injecton correspond to the FWHM changes. The maximum  $k_{15}$  value in the samples was 0.19 at  $r_{\text{H}_2\text{O}/\text{Ar}}$  of 0.04, which corresponds to 73% of that in a ZnO single crystal ( $k_{15}$ =0.26).



Fig. 4 Conversion losses at  $r_{\text{H}_2\text{O/Ar}}$  value of (a) 0, (b) 0.02, (c) 0.04 and (d) 0.08.

#### 5. Conclusion

We investigated the effect of H<sub>2</sub>O gas injection during c-axis parallel oriented ZnO film deposition. Highly energetic O<sup>-</sup> and OH<sup>-</sup> bombardment was increased with injecting H<sub>2</sub>O gas. The crystalline orientation and  $k_{15}$  of c-axis parallel oriented ZnO films was improved by moderate H<sub>2</sub>O injection. However, they were degraded by excess H<sub>2</sub>O injection because of excess O<sup>-</sup> and OH<sup>-</sup> bombardment. As a result, the c-axis parallel oriented ZnO film of  $k_{15}$ =0.19 was obtained.

#### References

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